

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 062908/0104



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42-02

Applicant: Ronald M. PERKES et al.

Title: CROSS TECHNOLOGY MONITORING PROFILING AND
PREDICTIVE CACHING METHOD AND SYSTEM

Serial No.: 09/994,905

Filed: November 28, 2001

Examiner: Unassigned

Art Unit: 2173

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Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The instant Information Disclosure Statement is being filed in compliance with 37 C.F.R. §1.97(b) within three (3) months of the filing date of the above-identified application.

RELEVANCE OF EACH DOCUMENT

Each of the listed documents is a U.S. patent application, and thus no relevance description needs to be provided.

Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

January 22, 2002
Date

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Form PTO-1449 (MODIFIED) COPIED JAN 22 2002 INFORMATION DISCLOSURE CITATION Date January 22, 2002 (Use several sheets if necessary)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 062908/0104	SERIAL NO. 09/994,905
	APPLICANT Ronald M. PERKES et al		
	FILING DATE November 28, 2001	GROUP ART UNIT 2173	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A1	5,657,072	08/12/1997	ARISTIDES et al.	348	13	
	A2	5,692,214	11/25/1997	LEVINE	395	833	
	A3	5,790,935	08/04/1998	PAYTON	455	5.1	
	A4	5,961,602	10/05/1999	THOMPSON et al.	709	229	
	A6	5,961,603	10/05/1999	KUNKEL et al.	709	229	
	A6	5,978,381	11/02/1999	PERLMAN et al.	370	432	
	A7	5,988,078	11/23/1999	LEVINE	110	8	

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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- * EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.